Derivation of Inherent Optical Properties from Satellite Top of Atmosphere Measurements in Optically Complex Waters

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TOA EOF-Based Algorithms for IOPs

1. TOA Reflectance

2. EOF analysis

3. Model to estimate IOPs/Chl

- Evolution of an approach developed for in situ hyperspectral data
- Intended to circumvent the need for ‘perfect’ atmospheric correction in challenging scenarios (coastal/optically complex waters)
- Initially tested on multispectral NOMAD satellite matchup dataset
Synopsis of Progress

- TOA synthetic dataset was constructed using coupled atmosphere-ocean model - Zhongping Lee & team
  - $a_{ph}$ spectra collected from SEABASS
  - Other IOPs modelled using similar approach to IOCCG Report #5
  - Good representation of ‘real’ world measured IOPs
- Parameters varied: $a_{ph}$, $a_g$, $a_d$, $b_{bph}$, $b_{bd}$, AOD ($\tau$), absorbing aerosols ($O_3$, $O_2$, water vapour), sza
Synopsis of Progress

\[
\begin{align*}
\tau &= 0.1 \\
\tau &= 0.3 \\
\tau &= 0.5 \\
\tau &= 0.8
\end{align*}
\]

TOA $R_{rs}$

Approach performs well for IOPs over a wide range of water constituent concentrations & AODs with absorbing gases present.
Challenges

- EOF approach applied to *in situ* hyperspectral reflectance revealed potential problems with objective score selection criteria.
- Occasionally, higher order scores that are essentially noise are selected as predictors - *likely instrumental*.
- Gives rise to spectral discontinuities (spikes) in modelled spectral parameters.
- Currently experimenting with methods to identify and eliminate this problem (e.g. signal:noise criterion).

**EOF approach implemented to detect cyanobacteria blooms in the Baltic Sea**

*Figure courtesy of Monika Woźniak: Woźniak, Craig, et al. in review.*
Recent Developments - Machine Learning

- EOF approach is essentially a basic form of machine learning
- Have recently begun a collaboration with computer scientist, Thomas Trappenberg (Dalhousie University)
- Exploring the possibility of using machine learning techniques commonly applied to other image classification problems
- State of the art machine learning now tries to use as much data as possible:
  - Don’t try to be too clever initially - more (imperfect) data may still contain useful information!
  - Pre-training approaches help to constrain the final model
Recent Developments - Machine Learning

- Different ‘flavours’ of machine learning algorithms were applied to the TOA NOMAD dataset originally used for developing the EOF models
  - Multilayer perceptron neural network
  - Convolutional neural network
  - Convolutional neural network with pre-training
Examples of Machine Learning Prediction of $\alpha_{ph}$

TOA EOF Algorithm

Machine Learning Approaches

Multilayer Perceptron Neural Network (1 hidden layer)

Multilayer Perceptron Neural Network (2 hidden layers)

5 Layer Convolutional Network

Pre-training 5 Layer Convolutional Network

Models developed in Trappenberg Lab: Hossein Parvar, Yoshima Kibu

PACE Science Team Meeting 17-19 January 2017 - Harbor Branch Oceanographic Institute, FAU, FL
Examples of Machine Learning Prediction of $\alpha_{ph}$

Model Skill Metrics

c.f. model skill metrics used by Werdell et al. (2013), AO, 52(10), 2019.
Preliminary Machine Learning Results

- Machine learning approaches are able to replicate and slightly improve upon EOF TOA results
- These models were developed with ‘bare bones’ information - TOA spectra & corresponding IOPs
- Provision of metadata for each of the data points may improve models further (e.g. lat, lon, season, sza,...) - the more data the better!
- ‘Unlabelled’ data (i.e. spectra without accompanying IOPs) may also be used to improve the models
- Compiled hyperspectral PACE dataset could prove an excellent test case
Questions...?